Rec'd PCT/PTO 05 APR 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jonathan Richard Anthony ROFFEY et al.

Title:

Condensed Indoline Derivatives and their use as 5HT, in particular

5HT2C, Receptor Ligands

Appl. No.:

10/009,567

Filing Date: 04/05/2002

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents Washington, D.C. 20231

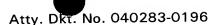
Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.





Documents A1-A9 are in English. An English translation of document A11 is attached.

Document A10 relates to $5\text{-HT}_{2\text{C}}$ receptor agonists and aminoalkylindazole derivatives.

An English-language translation of document A10 is not readily available. The absence of such translation does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609). An English language abstract for document A10 is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Date: April 5, 2002

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Respectfully submitted,

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Attorney for Applicant Registration No. 38,011

410,413

Approved for

through 10/31/2002. OMB 0651-0031

S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Off ess it contains a valid OMB control re required to respond to a collection of information

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: April 5, 2002

(use as many sheets as necessary)

of

	Complete if Known			
Application Number	10/009,567			
Filing Date	03/22/2002			
First Named Inventor	Jonathan Richard Anthony ROFFEY			
Group Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	040283-0196			

				U.S. PATENT DOCUMENTS		
Examiner Initials*	Cite No. ¹	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	5,646,173		BOS et al.	07-08-1997	
	A2	5,561,150		WICHMANN	10-01-1996	
	A3	5,494,928		BOS	02-27-1996	
	A4	3,329,571		RICE et al.	07-04-1967	
	A5	3,142,678		RICE et al.	07-28-1964	
	A6	2,687,414		CUSIC	08-24-1954	
	A7	2,541,211		CUSIC et al.	02-13-1951	
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Examiner Initials*	Cite No.1	For Office ³	eign Patent D Number⁴	ocument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Т6
	A8	wo	00/12502	A1	ADAMS et al.	03-09-2000		
	A9	wo	00/12475	A1	ADAMS et al.	03-09-2000		-
	A10	wo	98/30548	A1	MAENO et al.	07-16-1998		X
	A11	DE	930 988		HORLEIN	07-28-1955		+^
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Date Considered	
Signature	the state of the s	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.